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PATENT  
040252

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Curry et al. )  
Serial No.: 10/811,318 )  
Filing Date: March 26, 2004 )  
) Examiner:  
) Art Unit:

Title: STRUCTURED CREDIT ENHANCEMENTS

INFORMATION DISCLOSURE STATEMENT

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Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the reference(s) listed on the accompanying form PTO/SB/08. A copy of each reference is enclosed.

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Respectfully submitted,

Date: Apr. 14, 2004

  
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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	10/811,318
				Filing Date	March 26, 2004
				First Named Inventor	Curry
				Art Unit	
				Examiner Name	
Sheet		1	of	1	Attorney Docket Number
		040252			

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		T <sup>2</sup>
		Moore, "Bond Insurance as a Form of Credit Enhancement in California's Municipal Bond Market," June 2003.		
		Neal, "Credit Derivatives: New Financial Instruments for Controlling Credit Risk", <i>Economic Review</i> , 1996.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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